Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/600.041 Filing Date TRANSMITTAL June 20, 2003 First Named Inventor **FORM** Gutman, et al Art Unit N/A **Examiner Name** N/A (to be used for all correspondence after initial filing) Attorney Docket Number 2088 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): References: US 6,210,609 B1; US Request for Refund **Express Abandonment Request** 4,767,486 B1; and JP-402182433A B2 CD, Number of CD(s) Information Disclosure Statement -- Return Receipt Postcard Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Energy Conversion Devices, Inc. Signature Printed name Anthony J. Serventi Date Reg. No. October 22, 2004 42,141 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature de Citros

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Melinda Cihone

Typed or printed name

Date

October 22, 2004

Patent Application Serial No.: 10/600,041

Attorney Docket No.: 2088

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Tempplicant: Gutman, et al. Serial Number: 10/600,041

Filed: June 20, 2003

Title: METHOD AND APPARATUS FOR PRODUCING OPTICAL DISK

SUBSTRATES Group Art Unit: N/A

Examiner: N/A

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(c)(2)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants submit this statement in accordance with the duty of disclosure under 37 CFR 1.56. This statement is filed in accordance with 37 CFR 1.97(c)(2). This document is filed before receipt of the first office action. Therefore, no fees are due at this time.

Applicant hereby notifies the US Patent and Trademark Office of the items listed on forms PTO/SB/08A, submitted herewith.

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to the antedate or otherwise remove the listed documents as a competent reference against the claims of the present application.

Respectfully submitted,

Anthony J. Serventi Attorney No. 42,141

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PTO/SB/08A (08-03)

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	10/600,041	•
Filing Date	June 20, 2003	
First Named Inventor	George Gutman	
Art Unit		
Examiner Name		
Attorney Docket Number	2088	

	U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
-	Α	^{US-} 6,210,609 B1	4-3-2001	Takeda et al.		
	В	^{US-} 4,767,486 B1	8-30-1988	Nakajima et al.		
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		FOREIGI	N PATENT DOC	JMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Т6
	С	JP-402/82433A BZ	7-17-1990	Arisawa et al.		

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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